

translating sentence:

- s1. define propositional variables
- s2. use connectives to combine them

Truth Table

Precedence:

column	row
$\neg$	1
$\wedge$	2
$\vee$	3
$\rightarrow$	4
$\leftrightarrow$	5

$\forall, \exists$  higher than all of them

Bit Operations (T  $\rightarrow$  1, F  $\rightarrow$  0)

- bitwise OR
- bitwise AND
- bitwise XOR

bit string: a sequence of zero or more bits

consistent:  $\rightarrow$  a list of propositions

$\rightarrow$  have a possible truth value of variables for all propositions to be true

- logic puzzles
- judge find
- define p, q and find to judge p, q's truth value

classification

- tautology  $\rightarrow p \leftrightarrow q$  is a tautology  $\Rightarrow$  logically equivalent  $\rightarrow p \equiv q, p \Leftrightarrow q$
  - contradiction
  - contingency
- show  $\rightarrow$
- truth table
  - already-proved equivalences

satisfiable

unsatisfiable negation is a tautology

\* Sudoku problem:

- $\bigwedge_{i=1}^n \bigwedge_{j=1}^n \bigvee_{k=1}^n p(i, j, k)$  every row contains every number
- $\bigwedge_{j=1}^n \bigwedge_{i=1}^n \bigvee_{k=1}^n p(i, j, k)$  every column contains every number
- $\bigwedge_{i=0}^2 \bigwedge_{s=0}^2 \bigwedge_{t=1}^3 \bigvee_{j=1}^3 p(3s+i, 3s+j, t)$  every 3x3 blocks ...
- $p(i, j, k) \rightarrow \neg p(i, j, k')$  no cell contains more than one number

- $P \wedge T \equiv P$
- $P \vee T \equiv T$
- $P \vee P \equiv P$
- $\neg(\neg P) \equiv P$
- $P \vee Q \equiv Q \vee P$
- $(P \vee Q) \vee R \equiv P \vee (Q \vee R)$
- $P \vee (Q \wedge R) \equiv (P \vee Q) \wedge (P \vee R)$
- $\neg(P \wedge Q) \equiv \neg P \vee \neg Q$
- $P \vee (P \wedge Q) \equiv P$
- $P \vee \neg P \equiv T$
- $P \rightarrow Q \equiv \neg P \vee Q$
- $P \leftrightarrow Q \equiv (P \rightarrow Q) \wedge (Q \rightarrow P)$

predicate  $\rightarrow P(x_1, x_2, \dots, x_n) : n\text{-place } (n\text{-ary}) \text{ predicate}$

quantifiers  $\left\{ \begin{array}{l} \text{universal quantification } \forall x P(x) \text{ (universal quantifier)} \\ \text{existential quantification } \exists x P(x) \text{ (existential quantifier)} \end{array} \right\}$  predicate logic (calculus)

ways to express: For all/everyleach/arbitrary/any

All of / Given any

when express, don't forget define the domain

$\exists x P(x) \equiv P(x_1) \vee P(x_2) \vee \dots \vee P(x_n)$

$\exists! P(x) / \exists! P(x) : \text{exist a unique } x \text{ such that } P(x) \text{ is true}$

bound variable  $\leftrightarrow$  free variable

$\uparrow$  all variables in a propositional function  $\leftarrow$  when translating, it must be checked

logically equivalent (have the same truth value)

$\forall x (A(x) \wedge B(x)) \equiv \forall x A(x) \wedge \forall x B(x)$

$\forall x (A(x) \vee B(x)) \not\equiv \forall x A(x) \vee \forall x B(x)$

$\exists x (A(x) \vee B(x)) \equiv \exists x A(x) \vee \exists x B(x)$

$\exists x (A(x) \wedge B(x)) \not\equiv \exists x A(x) \wedge \exists x B(x)$

De Morgan's laws:  $\neg \forall x P(x) \equiv \exists x \neg P(x)$        $\neg \exists x P(x) \equiv \forall x \neg P(x)$

$x$  not occurring in  $A$ :

$\forall x P(x) \vee A \equiv \forall x (P(x) \vee A)$	$\forall x P(x) \wedge A \equiv \forall x (P(x) \wedge A)$
$\exists x P(x) \vee A \equiv \exists x (P(x) \vee A)$	$\exists x P(x) \wedge A \equiv \exists x (P(x) \wedge A)$
$\forall x (A \rightarrow P(x)) \equiv A \rightarrow \forall x P(x)$	$\exists x (A \rightarrow P(x)) \equiv A \rightarrow \exists x P(x)$
$\forall x (P(x) \rightarrow A) \equiv \exists x P(x) \rightarrow A$	$\exists x (P(x) \rightarrow A) \equiv \forall x P(x) \rightarrow A$

Order matters:  $\forall x \forall y P(x,y) \equiv \forall y \forall x P(x,y)$        $\exists x \forall y P(x,y) \not\equiv \forall y \exists x P(x,y)$

translating tips:

- All  $S(x)$  are  $O(x) : \forall x (S(x) \rightarrow O(x))$
- No  $S(x)$  are  $O(x) : \forall x (S(x) \rightarrow \neg O(x))$
- Some  $S(x)$ 's are  $O(x) : \exists x (S(x) \wedge O(x))$
- Some  $S(x)$  are not  $O(x) : \exists x (S(x) \wedge \neg O(x))$

nested quantifiers (multiple variables)

functionally complete logically equivalent to sth. involving only  $\{ \wedge, \vee, \neg, \rightarrow \}$   $\rightarrow$  functionally complete

literal  $P, \neg P$

clause  $\left\{ \begin{array}{l} \text{disjunctive clause} \\ \text{conjunctive clause} \end{array} \right.$

CNF  $(A_{11} \vee \dots \vee A_{1n_1}) \wedge \dots \wedge (A_{k1} \vee \dots \vee A_{kn_k})$       ① DNF

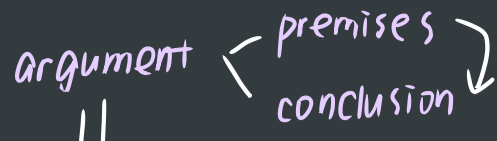
DNF  $(A_{11} \wedge \dots \wedge A_{1n_1}) \vee \dots \vee (A_{k1} \wedge \dots \wedge A_{kn_k}) \rightarrow$  FDNF disjunction of minterms      ② use laws to add all variables

Prenex Normal Form  $Q_1 x_1 Q_2 x_2 \dots Q_n x_n B$   $\rightarrow$  quantifier free

translating step:

- $S_1. \rightarrow, \leftrightarrow$
- $S_2. \text{ move in all '}'$
- $S_3. \text{ rename the variables}$
- $S_4. \text{ move all quantifiers front}$

1.6



whenever all premises are true, the conclusion is also true

valid → prove: s1. assume the premises are true  
s2. determine conclusion is true

\*if conclusion is  $p \rightarrow q$ , we can:

$$p_1 \wedge p_2 \wedge \dots \wedge p_n \rightarrow (p \rightarrow q) \Rightarrow p_1 \wedge p_2 \wedge \dots \wedge p_n \wedge p \rightarrow q$$

(logically equivalent)

addition premise

rules of inference:

$\frac{P \quad P \rightarrow Q}{\therefore Q}$ <p>modus Ponens</p>	$\frac{P \rightarrow Q \quad Q \rightarrow R}{\therefore P \rightarrow R}$ <p>Hypothetical syllogism</p>	$\frac{P}{\therefore P \vee Q}$ <p>Addition</p>	$\frac{P \quad Q}{\therefore P \wedge Q}$ <p>conjunction</p>
$\frac{\neg Q \quad P \rightarrow Q}{\therefore \neg P}$ <p>Modus Tollens</p>	$\frac{P \vee Q \quad \neg P}{\therefore Q}$ <p>Disjunctive Syllogism</p>	$\frac{P \wedge Q}{\therefore P}$ <p>simplification</p>	$\frac{P \vee Q \quad \neg P \vee R}{\therefore Q \vee R}$ <p>resolution</p>

for quantified statements

$\frac{\forall x P(x)}{\therefore P(c)}$ <p>u.i</p>	$\frac{\exists x P(x)}{\therefore P(c) \text{ for some element } c}$ <p>E.i</p>
$\frac{P(c) \text{ for an arbitrary } c}{\therefore \forall x P(x)}$ <p>u.g.</p>	$\frac{P(c) \text{ for some element } c}{\therefore \exists x P(x)}$ <p>E.g.</p>

write the premise and conclusion as clauses (using 'v')

Universal modus ponens:  
 $\forall x (P(x) \rightarrow Q(x))$   
 $P(a)$ , where  $a$  is a particular element in the domain  
 $\therefore Q(a)$

Universal modus tollens  
 $\forall x (P(x) \rightarrow Q(x))$   
 $\neg Q(a)$ , where  $a$  is a particular element in the domain  
 $\therefore \neg P(a)$

1.7~1.8

proof methods:

direct proofs

proof by contraposition  $P \rightarrow Q \equiv \neg Q \rightarrow \neg P$

vacuous proof  $P \rightarrow Q$  ( $P$  is F)

trivial proof  $P \rightarrow Q$  ( $Q$  is T)

proof  $p$  by contradiction assume  $p$  is false Additional hypothesis  $\neg(s \vee r) \Rightarrow$  contradiction  $\Rightarrow s \vee r$

proofs of equivalence  $p_1 \leftrightarrow p_2 \leftrightarrow \dots \leftrightarrow p_n \equiv [(p_1 \rightarrow p_2) \wedge (p_2 \rightarrow p_3) \wedge \dots \wedge (p_n \rightarrow p_1)] \Rightarrow p_1, p_2, \dots, p_n$  are equivalent

proof by cases

existence proof  $\left\{ \begin{array}{l} \text{constructive existence proof} \quad \text{find } p(c) \\ \text{nonconstructive existence proof} \quad \text{derive contradiction if } \neg \exists c \end{array} \right.$

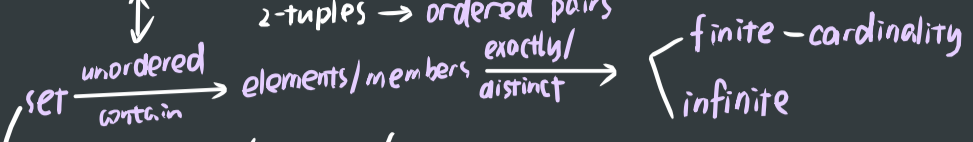
uniqueness proof  $\exists x (P(x) \wedge \forall y (y \neq x \rightarrow \neg P(y)))$   $\leftarrow$  existence uniqueness

forward reasoning

backward reasoning

cartesian product  $A_1 \times A_2 \times \dots \times A_n = \{(a_1, a_2, \dots, a_n) \mid a_i \in A_i, \text{ for } i=1 \text{ to } n\}$

ordered  $n$ -tuple  $(a_1, a_2, \dots, a_n) = (b_1, b_2, \dots, b_n) \Leftrightarrow a_i = b_i$



- $\emptyset$  void set / null set / empty set
- subset  $\rightarrow$  power set ( $2^n$ )
- equal
- proper subset

truth set the truth set of  $P = \{x \in D \mid P(x)\}$

union  $A \cup B$  (the cardinality of  $A \cup B: |A \cup B| = |A| + |B| - |A \cap B|$ )

intersection  $A \cap B \rightarrow$  disjoint  $A \cap B = \emptyset$

difference of A and B  $A - B = \{x \mid x \in A \wedge x \notin B\} \Rightarrow A - B = A \cap \bar{B}$

the complement of a set  $\bar{A} = \{x \mid x \notin A, x \in U\}$

symmetric difference  $A \oplus B = (A \cup B) - (A \cap B)$

Generalized Unions and Intersections

$$\begin{cases} A_1 \cup A_2 \cup \dots \cup A_n = \bigcup_{i=1}^n A_i \\ A_1 \cap A_2 \cap \dots \cap A_n = \bigcap_{i=1}^n A_i \end{cases} \Downarrow \text{bitwise}$$

set identities

$A \cup \emptyset = A, A \cap U = A$ $A \cup U = U, A \cap \emptyset = \emptyset$ $A \cup A = A, A \cap A = A$ $\bar{\bar{A}} = A$ $A \cup B = B \cup A, A \cap B = B \cap A$ $A \cup (B \cap C) = (A \cup B) \cap C, A \cap (B \cup C) = (A \cap B) \cup C$	$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$ $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$ $\overline{A \cup B} = \bar{A} \cap \bar{B}$ $\overline{A \cap B} = \bar{A} \cup \bar{B}$
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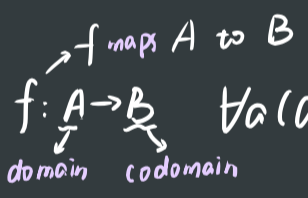
\* ways to prove set identities:

- $A \subseteq B$  &  $A \supseteq B \Rightarrow A = B$   $\rightarrow$  turn A into  $A = \{x \mid x \in A\}$  use logical equivalence
- logical equivalence
- membership table
- previously proven identities

$\rightarrow$  prove  $\phi$ : suppose to the contrary

don't try to express all the elements because not all sets are finite

function / mapping / transformations



$\forall a (a \in A \rightarrow \exists! b (b \in B \wedge f(a) = b))$

graph:  $\{(a, b) \mid a \in A \wedge f(a) = b\}$

$(f_1 + f_2)(x) = f_1(x) + f_2(x)$

$(f_1 \cdot f_2)(x) = f_1(x) \cdot f_2(x)$

$f(S) = \{f(s) \mid s \in S\}$   $\Rightarrow$   $\begin{cases} f(\emptyset) = \emptyset \\ f(\{a\}) = \{f(a)\} \\ f(A \cup B) = f(A) \cup f(B) \\ f(A \cap B) \subseteq f(A) \cap f(B) \end{cases}$

one-to-one / injective  $\rightarrow$  injection  $\forall a \forall b (f(a) = f(b) \rightarrow a = b)$

onto / surjective  $\rightarrow$  surjection  $\forall b \in B \exists a \in A (f(a) = b)$

one-to-one correspondence / bijection  
 same cardinality

are used to prove  
 show not: find a counterexample

monotonic function  $f$

- monotonically (strictly) increasing:  $\forall x \forall y (x < y \rightarrow f(x) < f(y))$
- monotonically (strictly) decreasing:  $\forall x \forall y (x > y \rightarrow f(x) > f(y))$

composition of functions

$f \circ g(a) = f(g(a)) \Rightarrow$  the range of  $g \subseteq$  the domain of  $f$

floor function  $\lfloor x \rfloor$

real number

let  $x = n + \epsilon$

$\rightarrow$  整数和小数部分

ceiling function  $\lceil x \rceil$

let  $x = n - \epsilon$



2.4

sequence  $n \rightarrow a_n \Rightarrow$  notation of sequence  $\{a_n\}$   
 integer  $\Rightarrow$  sequence of  $\{a_n\}: a_1, a_2, \dots, a_n, \dots$   
 order matters

geometric progression  $a \cdot r^n$   
 arithmetic progression  $a + nd$

summations  $\sum_{i=m}^n a_i = \sum_{i=m}^n a_i = \sum_{m \leq i \leq n} a_i$   
 $\sum_{s \in S} f(s)$

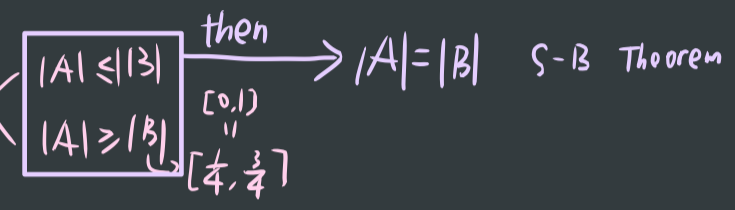
\*  $\sum_{k=1}^n k^2 = \frac{n(n+1)(n+2)}{6}$   
 $\sum_{k=1}^n k^3 = \frac{n^2(n+1)^2}{4}$

2.5

$|A|=|B| \Leftrightarrow$  bijection  $\rightarrow$  countable  $\left\{ \begin{array}{l} \text{finite} \\ \text{same cardinality as } \mathbb{Z}^+ \end{array} \right.$   
 $|A| \leq |B| \Leftrightarrow$  injection  $\xrightarrow{\text{different cardinality}} |A| < |B|$  uncountable  $\rightarrow \aleph_0$  (aleph null)

$\Rightarrow$  the union of a countable number of countable sets is countable.

- \* important examples:
- ①  $\mathbb{Q}^+$  countable  $\{ \equiv \}$
  - ②  $(0,1) \mathbb{R}$  uncountable  $\{ \equiv \}$
  - ③  $[0,1] \mathbb{R}$  same cardinality as  $(0,1) \mathbb{R}$



$|P(A)| > |A|$

The Continuum Hypothesis there is no  $a$  such that  $\aleph_0 < a < \aleph_1$

computerbility  $\left\{ \begin{array}{l} \text{computable} \\ \text{uncomputable} \end{array} \right.$

chapter 3

algorithm  $\left\{ \begin{array}{l} \text{input, output} \\ \text{definiteness, correctness} \\ \text{finiteness, effectiveness (each step)} \\ \text{finite number of steps} \\ \text{generality} \end{array} \right.$  in a finite amount of time

Pseudocode

- ① find the maximum
- ② search  $\left\{ \begin{array}{l} \text{linear search or sequential search} \\ \text{binary search} \end{array} \right.$
- ③ sort

\* Halting problem:

```

    描述: 如何证明这个程序会停机?
    反证: 假设我们有一个判定程序 halt(program, input), 它接收一个程序 (二进制串) 和它的输入, 并返回 true 或 false.
    bool halt(program, input)
    {
        // program halts on input
        return true;
        return false;
    }

    这看起来似乎很简单, 但它是不可判定的. 它和停机问题一样, 我们证明它不可判定. 现在, 我们从一个 halt(program, input) 出发, 构造一个判定程序.
    bool is_halt(program)
    {
        if (halt(program, program))
        {
            // loop forever!
            return false; // can never get here!
        }
        else
        {
            return true;
        }
    }

    这听起来似乎很简单, 但这个算法使一切都很复杂了. 当我们把这个算法应用到它自身时, 会发生什么呢?
    is_halt(is_halt)
    我们分析一下这个程序的逻辑:
    首先, is_halt(is_halt) 这个调用会调用 halt(is_halt, is_halt). 那么 halt(is_halt, is_halt) 会返回 true 还是 false?
    如果 halt(is_halt, is_halt) 返回 true, 那么 is_halt(is_halt) 会返回 false. 如果 halt(is_halt, is_halt) 返回 false, 那么 is_halt(is_halt) 会返回 true.
    这导致了一个矛盾. 因此, is_halt(is_halt) 既不会返回 true, 也不会返回 false. 这证明了 halt(program, input) 是不可判定的.
    因此, 我们:
    is_halt(is_halt) 不可判定.
    is_halt(is_halt) 不可判定.
    
```

Optimization problem  $\rightarrow$  Greedy Algorithms (each step, not the whole)

Big-O Notation

" $f(x)$  is  $O(g(x))$ "  $\Leftrightarrow \exists C, k$  s.t.  $\forall x (x > k \rightarrow |f(x)| \leq C|g(x)|)$

proof: ①  $f(x) = O(g(x))$ : find a pair of  $C, k$ .  
 ②  $f(x) \neq O(g(x))$ : use  $f(x) \leq Cg(x)$  to work out  $x < \dots$

$o(1) < o(\log n) < o(n) < o(n \log n) < o(n^b) < o(b^n) < o(n!)$

① Addition of functions  $\rightarrow (f_1 + f_2)(x) = O(\max(g_1(x), g_2(x)))$   
 ② Multiplication of functions  $\rightarrow (f_1 \cdot f_2)(x) = O(g_1(x)g_2(x))$

Big-Theta

" $f(x)$  is  $\Theta(g(x))$ "  $\Leftrightarrow \exists C_1, C_2, k$   
 $\forall x (x > k \rightarrow 0 < C_1g(x) \leq f(x) \leq C_2g(x))$

proof: find a pair of  $C_1, C_2, k$

Big-Omega

" $f(x)$  is  $\Omega(g(x))$ "  $\Leftrightarrow \exists C, k$  s.t.  $\forall x (x > k \rightarrow |f(x)| \geq C|g(x)|)$

Space Complexity  
 Time Complexity  $\rightarrow$  comparisons

chapter 5 the well-ordering property (nonnegative integers (nonempty))  $\rightarrow$  proof ① set a nonempty set  $S$  ② find the least element and suppose the goal is False ③ work out contradiction (find an element smaller than the "least element")

mathematical induction  $\left\{ \begin{array}{l} \text{basis step } P(1) \\ \text{inductive step } \forall k (P(k) \rightarrow P(k+1)) \\ \text{conclusion } \therefore \forall n P(n) \end{array} \right. \rightarrow \text{more general form: } P(b) \rightarrow \forall k (k \geq b \rightarrow (P(k) \rightarrow P(k+1))) \therefore \forall n \geq b P(n)$

strong induction  $P(n_0) \wedge \forall k \geq n_0 (P(n_0) \wedge P(n_0+1) \wedge \dots \wedge P(k) \rightarrow P(k+1)) \rightarrow \forall n \geq n_0 (P(n))$

recursively defined functions  $\left\{ \begin{array}{l} \text{basis step} \\ \text{recursive step} \end{array} \right. \rightarrow \text{more general version (first } k \text{ nonnegative integers)}$

euclidean algorithm:  $a = bq + r \rightarrow \gcd(a, b) = \gcd(b, r)$

LAME'S Theorem

recursively defined sets  $\left\{ \begin{array}{l} \text{basis step } \text{an initial collection of elements} \\ \text{recursive step } \text{rules for forming new elements} \end{array} \right.$

structural induction  $\left\{ \begin{array}{l} \text{basis step} \\ \text{recursive step} \end{array} \right.$

some examples see the "chapter 5" note

	Weak mathematical	Strong Mathematical	Structural
Used for	Usual formulae	Usual formulae not provable via mathematical induction	Only things defined via recursion
Assumption	Assume $P(k)$	Assume $P(1), P(2), \dots, P(k)$	Assume statement is true for some "old" elements
What to prove	True for $P(k+1)$	True for $P(k+1)$	Statement is true for some "new" elements created with "old" elements
Step 1 called	Base case	Base case	Basis step
Step 2 called	Inductive step	Inductive step	Recursive step

chapter 6

The sum rule  
The product rule  
The Inclusion-Exclusion Principle (subtraction rule)

$$|A \cup B| = |A| + |B| - |A \cap B|$$

$$|\overline{A \cap B}| = |\overline{A \cup B}| = |U| - |A \cup B| = |U| - (|A| + |B| - |A \cap B|)$$

Tree diagrams

The Pigeonhole Principle if  $N$  objects are placed into  $k$  boxes, then there is at least one box containing at least  $\lceil N/k \rceil$  objects

examples: ① (3) In a party of 2 or more people, there are 2 people with the same number of friends in the party. (Assuming you can't be your own friend and that friendship is mutual.)

Pigeons: the  $n$  people (with  $n > 1$ ).

Pigeonholes: the possible number of friends, i.e. the set  $\{0, 1, 2, 3, \dots, n-1\}$

具体问题具体分析:  
讨论两鸽巢共存的可能性

② [[Example 3]] Show that among any  $n+1$  positive integers not exceeding  $2n$  there must be an integer that divides one of the other integers.

Solution:  
Let  $n+1$  positive integers be  $a_1, a_2, \dots, a_{n+1} (1 \leq a_i \leq 2n)$   
Write  $a_i (i=1, 2, \dots, n+1)$  as  $2^{k_i} q_i$ , where  $k_i$  is a nonnegative integer and  $q_i$  is an odd positive integer less than  $2n$ .  
Since there are only  $n$  odd positive integers less than  $2n$ , by the pigeonhole principle it follows that there exist integers  $i$  and  $j$  such that  $q_i = q_j = q$ .  
then  $a_i = 2^{k_i} q$  and  $a_j = 2^{k_j} q$   
It follows that if  $a_i < a_j$ , then  $a_i | a_j$ , while if  $a_j < a_i$ , then  $a_j | a_i$ .

整除问题  
① 取公因数 ( $2^{k_i}$ )  
② pigeonhole.

[[Example 5]] Suppose that there are  $n$  arbitrary integers  $x_1, x_2, \dots, x_n$ . Show that there exist some consecutive integers such that the sum of these integers is the multiple of  $n$ .

Solution:  
 $a_i = \sum_{k=1}^i x_k (i=1, 2, \dots, n)$   
(1)  $\exists i (n | a_i)$   
(2)  $\neg \exists i (n | a_i)$

连续和问题  $\Rightarrow$  考虑集合的前  $n$  项和  
consecutive  $a_i = \sum_{k=1}^i x_k$   $\{a_i\}$   $n+1$  元素  
则连续和  $p \sim q = a_q - a_p$   
整除性 余数分类 + 求差 + 鸽巢原理  
Division Pigeon hole

③ [[Example 6]] Every sequence of  $n^2+1$  distinct integers contains a subsequence of length  $n+1$  that is either strictly increasing or strictly decreasing.

Proof:  
For example,  $n=2$   
1,2,3,4,5; 4,8,3,6,1; 1,4,5,3,2  
Let the sequence be  $a_1, a_2, \dots, a_{n^2+1}$   
Associate  $(x_i, y_i)$  to the term  $a_k$ , where  $x_k$  is the length of the longest increasing subsequence starting at  $a_k$ , and  $y_k$  is the length of the longest decreasing subsequence starting at  $a_k$ .  
Suppose that there is no increasing or decreasing subsequence of length  $n+1$ . Then  
 $1 \leq x_k \leq n$   $1 \leq y_k \leq n$   
Hence there are  $n \times n = n^2$  pairs  $(x_k, y_k)$ .  
Since there are  $n^2+1$   $a_k$ , By the pigeonhole principle, it follows that there exist terms  $a_i, a_j (1 \leq i < j \leq n^2+1)$  such that  $(x_i, y_i) = (x_j, y_j)$ .  
Since  $a_i \neq a_j$   
It follows that  
(1)  $a_i < a_j$   
(2)  $a_i > a_j$   
In either case there is a contradiction.

构造 Pair  $(x_k, y_k)$   
利用 Pigeon hole

④ **[[Example 4]]** During 11 weeks football games will be held at least 1 game a day, but at most 12 games be arranged each week. Show that there must be a period of some number of consecutive days during which exactly 21 games must be played.

**Solution:**

$x_i$ : the number of football games held on the  $i$ th day  
 $a_i = \sum_{k=1}^i x_k \quad 1 \leq a_1 < a_2 < \dots < a_{77} \leq 12 \times 11 = 132$   
 $c_i = a_i + 21 \quad 22 \leq c_1 < c_2 < \dots < c_{77} \leq 132 + 21 = 153$   
 $A = \{a_1, a_2, \dots, a_{77}, c_1, c_2, \dots, c_{77}\} \quad B = \{1, 2, \dots, 153\}$   
 $\exists i \neq j \text{ such that } a_i = c_j$   
 $a_i = a_j + 21$   
 $a_i - a_j = x_i + x_{i+1} + \dots + x_{j+1} = 21$

⑤ **[[Example 7]]** Assume that in a group of six people, each pair of individuals consists of two friends or two enemies. Show that there are either three mutual friends or three mutual enemies in the group.

**Proof:**

Let the six people be  $a_1, a_2, a_3, a_4, a_5, a_6$

Take  $a_1$  into consideration. Of the five other people in the group, there are either three or more who are friends of  $a_1$ , or three or more who are enemies of  $a_1$ . This follows from the generalized pigeonhole principle.

(1) Suppose that  $a_i, a_j, a_k$  are friends of  $a_1$

(2) Suppose that  $a_i, a_j, a_k$  are enemies of  $a_1$

连续 Day:

法1. 构造  $C_i = a_i + (\text{games}) \Rightarrow a_i = C_j$

加  $a_0 = 0, C_0 = (\text{games}) \rightarrow \begin{cases} a_i = C_0 \checkmark \\ a_i = C_j \checkmark \end{cases}$

法2. 转换成如②所示连续和问题. 对余数讨论.

$R(m, n) \quad (i) \quad R(3, 3) \leq 6$   
 $R(3, 3) = 5 \times 3 > R(3, 3) = 6$

(ii)  $R(n, m) = R(m, n)$

(iii)  $R(n, 2) = n$

(iv)  $R(4, 4) = 18$

(v)  $R(5, 5) \neq \{43, 49\}$

$r$ -permutation

$P(n, r) = \frac{n!}{(n-r)!}$

$r$ -combination

$C(n, r) = \binom{n}{r} = \frac{n!}{r!(n-r)!}$   
 $\parallel$   
 $C(n, n-r)$

combinatorial proof

double counting proof  
 bijective proof

the binomial theorem

$(x+y)^n = \sum_{j=0}^n \binom{n}{j} x^{n-j} y^j \Rightarrow \begin{cases} \sum_{k=0}^n \binom{n}{k} = 2^n & (x=y=1) \\ \sum_{k=0}^n (-1)^k \binom{n}{k} = 0 & (x=1, y=-1) \\ \sum_{k=0}^n 2^k \binom{n}{k} = 3^n & (x=1, y=2) \end{cases}$

PASCAL'S Identity

$\binom{n+1}{k} = \binom{n}{k} + \binom{n}{k-1}$

Vandermonde's Identity

$\binom{m+n}{r} = \sum_{k=0}^r \binom{m}{r-k} \binom{n}{k} \Rightarrow \binom{2n}{n} = \sum_{k=0}^n \binom{n}{k}^2$

$\binom{n+1}{r+1} = \sum_{j=r}^n \binom{j}{r}$

use bit-string and double counting

The left-hand side counts the bit strings of length  $n+1$  containing  $r+1$  1s.  
 We show that the right-hand side counts the same objects by considering the cases corresponding to the possible locations of the final 1 in a string with  $r+1$  ones.

$$\sum_{k=r+1}^{n+1} \binom{k-1}{r} = \sum_{j=r}^n \binom{j}{r}$$

permutation with repetition

$n! / (n_1! n_2! \dots n_k!)$

$r$ -circle permutation

$P(n, r) / r$

$r$ -Combination with repetition

$C(n+r-1, r)$  (stars and bars)

- $\sum_{i=1}^k x_i = C \Rightarrow$  ①  $x_i$  范围: 化成  $x_i \geq 0$ , 改变  $C$  (本质是自由排列的 star 数)  
 ② 不等号: 添加一个  $x_{i+1} \geq 0$  化为等号

Distributing objects into boxes:

① distinguishable objects and distinguishable boxes  $n! / (n_1! n_2! \dots n_k!)$

② distinguishable objects and indistinguishable boxes

$S(n, j)$ : the number of ways to distribute  $n$  distinguishable objects into  $j$  indistinguishable boxes so that no boxes is empty

$S(n, j) = (\sum_{i=0}^{j-1} (-1)^i \binom{j}{i} (j-i)^n) / j!$

$\Rightarrow \sum_{j=1}^k S(n, j) = \sum_{j=1}^k ((\sum_{i=0}^{j-1} (-1)^i \binom{j}{i} (j-i)^n) / j!)$

next larger permutation  
 next larger combination

③ indistinguishable objects and distinguishable boxes use stars and bars

④ indistinguishable objects and indistinguishable boxes 枚举法

chapter 8

recurrence relation  $a_n = f(a_0, a_1, a_2, \dots, a_{n-1}) \quad n \geq n_0$   
 ↓  
 degree  $\Rightarrow$  initial conditions

linear  
 constant coefficients  
 degree k  
 homogeneous ( $0 = 0$ , when  $a_i = 0$ )

how to solve: **【Theorem 5】** Let  $\{a_n^{(p)}\}$  be a particular solution of the nonhomogeneous linear recurrence relation with constant coefficients  $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k} + F(n)$ . Then every solution is of the form  $\{a_n^{(p)} + a_n^{(h)}\}$ , where  $\{a_n^{(h)}\}$  is a solution of the associated homogeneous recurrence relation  $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$ .

$a_n^{(p)}$        $a_n^{(h)}$

**【Theorem 6】** Assume a linear nonhomogeneous recurrence equation with constant coefficients with the nonlinear part  $F(n)$  of the form  $F(n) = (b_t n^t + b_{t-1} n^{t-1} + \dots + b_1 n + b_0) s^n$ . If  $s$  is not a root of the characteristic equation of the associated homogeneous recurrence equation, there is a particular solution of the form  $(p_t n^t + p_{t-1} n^{t-1} + \dots + p_1 n + p_0) s^n$ . If  $s$  is a root of multiplicity  $m$ , a particular solution is of the form  $n^m (p_t n^t + p_{t-1} n^{t-1} + \dots + p_1 n + p_0) s^n$ . *每-项都要 通分*

**【Theorem 4】** Let  $c_1, c_2, \dots, c_k$  be real numbers. Suppose that the characteristic equation  $r^k - c_1 r^{k-1} - \dots - c_k = 0$  has  $t$  distinct roots  $r_1, r_2, \dots, r_t$  with multiplicities  $m_1, m_2, \dots, m_t$ , respectively, so that  $m_i \geq 1$  for  $i = 1, 2, \dots, t$  and  $m_1 + m_2 + \dots + m_t = k$ . Then a sequence  $\{a_n\}$  is a solution of the recurrence relation  $a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_k a_{n-k}$  if and only if  $a_n = (\alpha_{1,0} + \alpha_{1,1} n + \dots + \alpha_{1,m_1-1} n^{m_1-1}) r_1^n + (\alpha_{2,0} + \alpha_{2,1} n + \dots + \alpha_{2,m_2-1} n^{m_2-1}) r_2^n + \dots + (\alpha_{t,0} + \alpha_{t,1} n + \dots + \alpha_{t,m_t-1} n^{m_t-1}) r_t^n$  for  $n = 0, 1, 2, \dots$  where  $\alpha_{i,j}$  are constants for  $1 \leq i \leq t, 0 \leq j \leq m_i - 1$ .

8.4 generating functions





8.5 ~ 8.6 Inclusion - Exclusion

$$|A_1 \cup A_2 \cup \dots \cup A_n| = \sum_{i=1}^n |A_i| - \sum_{1 \leq i < j \leq n} |A_i \cap A_j| + \sum_{1 \leq i < j < k \leq n} |A_i \cap A_j \cap A_k| + \dots + (-1)^{n+1} |A_1 \cap A_2 \cap \dots \cap A_n|$$

$$N(P_1, P_2, \dots, P_n) = N - |A_1 \cup A_2 \cup \dots \cup A_n| = N - \sum_{1 \leq i \leq n} N(P_i) + \sum_{1 \leq i < j \leq n} N(P_i P_j) + \dots + (-1)^n N(P_1 P_2 \dots P_n)$$

the number of elements in a set that have none of  $n$  properties

①  $x_1 + x_2 + \dots + x_n = M$

$x_i < k \Rightarrow N(x_1 \geq k, x_2 \geq k, \dots, x_n \geq k)$

②  $N(\text{prime})$  of  $k$

i)  $\sqrt{k}$ 's prime  $a_1, \dots, a_i$

ii)  $N(a_1' a_2' \dots a_i') + \dots$

③ the number of onto functions

$m \rightarrow n \quad (m \geq n)$

$n^m - C(n,1)(n-1)^m + C(n,2)(n-2)^m - \dots + (-1)^{n-1} C(n, n-1) 1^m$

④ derangement (错排)

$D_n = n! (1 - \frac{1}{1!} + \frac{1}{2!} - \frac{1}{3!} + \dots + (-1)^n \frac{1}{n!})$

chapter 9 relations

9.1 ~ 9.3

**Definition** A **binary relation**  $R$  from a set  $A$  to a set  $B$  is a subset of  $A \times B$ .

**Note:**

■ A **binary relation**  $R$  is a set.

■  $R \subseteq A \times B$

■  $R = \{(a,b) | a \in A, b \in B, aRb\}$

reflexive  
irreflexive  
symmetric  
antisymmetric —  $\forall x \forall y ((x,y) \in R \wedge (y,x) \in R \Rightarrow x=y)$   
transitive

**Definition** A **relation on the set**  $A$  is a relation from  $A$  to  $A$ .

**Note:**

■  $R \subseteq A \times A$

**Definition** Let  $R$  be a relation from

$A = \{a_1, a_2, \dots, a_m\}$ , to  $B = \{b_1, b_2, \dots, b_n\}$

An  $m \times n$  **connection matrix**  $M_R = [m_{ij}]$  for  $R$  is defined by

$m_{ij} = \begin{cases} 1 & \text{if } (a_i, b_j) \in R, \\ 0 & \text{if } (a_i, b_j) \notin R. \end{cases}$

5. Directed graph/Digraph

**Definition** A **directed graph** or a **digraph**, consists of a set  $V$  of **vertices** together with a set  $E$  of ordered pairs of elements of  $V$  called **edges (or arcs)**.

The vertices  $a, b$  is called the **initial** and **terminal** vertices of the edge  $(a, b)$ , respectively.

**Question:**

Symmetric, transitive  $\Rightarrow$  reflexive?

$(a,b) \in R \left. \begin{matrix} \} \\ \} \end{matrix} \right\} \Rightarrow (b,a) \in R \left. \begin{matrix} \} \\ \} \end{matrix} \right\} \Rightarrow (a,a) \in R$   
 $R \text{ is symmetric} \left. \begin{matrix} \} \\ \} \end{matrix} \right\} \Rightarrow (b,a) \in R \left. \begin{matrix} \} \\ \} \end{matrix} \right\} \Rightarrow (a,a) \in R$   
 $R \text{ is transitive} \left. \begin{matrix} \} \\ \} \end{matrix} \right\} \Rightarrow (a,a) \in R$

This argument makes an assumption that  $\forall a \exists b (a,b) \in R$

Therefore, symmetry and transitivity are not enough to infer reflexivity

combining relations:

set operation  $\cup, \cap, \bar{\phantom{x}}, -, \oplus$

composition

$R = \{(a,b) | a \in A, b \in B, aRb\}, S = \{(b,c) | b \in B, c \in C, bSc\}$

The **composite of  $R$  and  $S$** :  $S \circ R$

$S \circ R = \{(a,c) | a \in A \wedge c \in C \wedge \exists b (b \in B \wedge aRb \wedge bSc)\}$

when using matrix:  $M_{S \circ R} = M_R \cdot M_S$

$\circ R^{-1}: R^1 = R, R^{n+1} = R^n \circ R$

$\circ R$  on a set  $A$  is transitive  $\Leftrightarrow R^n \subseteq R$

inverse relation

$R = \{(a,b) | a \in A, b \in B, aRb\}$

The **inverse relation** from  $B$  to  $A$ :  $R^{-1} (R^c)$

$\{(b,a) | (a,b) \in R, a \in A, b \in B\}$

(for  $n=1,2,\dots$ )

mathematical induction

$R^n \subseteq R \Rightarrow R^{n+1} \subseteq R$

$\left. \begin{matrix} (a,b) \in R^{n+1} \\ R^{n+1} = R^n \circ R \end{matrix} \right\} \Rightarrow (a,x) \in R, (x,b) \in R^n \subseteq R \left. \begin{matrix} \} \\ \} \end{matrix} \right\} \Rightarrow (a,b) \in R$   
 $R \text{ is transitive}$

the properties of relation operations:



# 9.4 Closures of Relations

↑ 证明'满足'①②.  $R \subseteq R'$   
 the smallest relation with property P containing R

reflexive closure  $R \cup I_A \Rightarrow R = R \cup I_A \Leftrightarrow R$  is a reflexive relation

symmetric closure  $R \cup R^{-1} \Rightarrow R = R \cup R^{-1} \Leftrightarrow R$  is a symmetric relation

transitive closure  $\left\{ \begin{array}{l} \text{A path of length } n \Rightarrow \text{there is a path of length } n \text{ from } a \text{ to } b \Leftrightarrow (a,b) \in R^n \\ \text{Cycle or Circuit} \end{array} \right.$  the connectivity relation  $R^* = \bigcup_{n=1}^{\infty} R^n \Rightarrow t(R) = R^*$

if  $|A|=n$ , then any path of length  $> n$  must contain a cycle

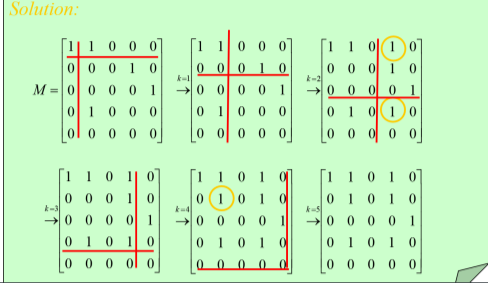
if  $|A|=n$ , then  $t(R) = R^* = R \cup R^2 \cup \dots \cup R^n \Rightarrow O(n^4)$   
 $M_{t(R)} = M_R \vee M_R^{[2]} \vee \dots \vee M_R^{[n]}$

## Warshall's Algorithm

```

W := M_R = [w_ij]_{n x n}
for k := 1 to n
begin
  for i := 1 to n
  begin
    for j := 1 to n
      w_ij = w_ij ∨ (w_ik ∧ w_kj);
    end
  end
end
end { W = [w_ij] is M_{t(R)} }
    
```

Example 3 Let  $A = \{1,2,3,4,5\}, R = \{(1,1), (1,2), (2,4), (3,5), (4,2)\}, t(R) = ?$



The complexity of algorithm:  $2n^3$

## 9.5 equivalence relations → reflexive, symmetric, transitive

**a and b are equivalent**  
 $R$  is an equivalence relation, and  $(a, b) \in R$   
 Notation:  $a \sim b$

**the equivalence class of x**  
 The set of all elements that are related to an element  $x$  of  $A$   
 Notation:  $[x]_R$   $[x]$

**a representative of the equivalence class**  $[x]_R$ :  $b \in [x]_R$

- (1)  $aRb$
  - (2)  $[a] = [b]$
  - (3)  $[a] \cap [b] \neq \emptyset$
- } equivalent

### partition of set A

Definition A partition of set  $A$  is a collection of disjoint nonempty subsets of  $A$  that have  $A$  as their union.

Let  $\{A_i | i \in I\}$  be a collection of subsets of  $A$ . Then the collection forms a partition of  $A$  if and only if

- $A_i \neq \emptyset$  for  $i \in I$  ( $I$  is an index set)
- $A_i \cap A_j = \emptyset$ , when  $i \neq j$
- $\forall a \in A, \exists i$  such that  $a \in A_i$  ( $i = 1, 2, \dots$ )

$\bigcup_{i \in I} A_i = A$

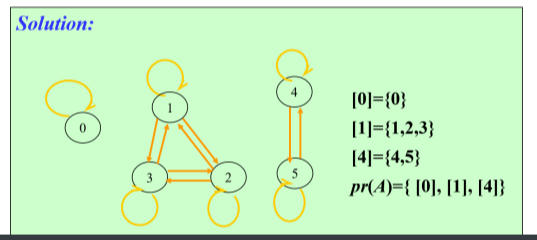


Notation:  $pr(A) = \{A_i | i \in I\}$

an equivalence relation on a set A  
 $\Updownarrow$   
 a partition of A.

how to express:

Example 3 Find the partition of the set  $A$  from  $R$ .  
 $A = \{0,1,2,3,4,5\}$   
 $R = \{(0,0), (1,1), (2,2), (3,3), (1,2), (1,3), (2,1), (2,3), (3,1), (3,2), (4,4), (4,5), (5,4), (5,5)\}$



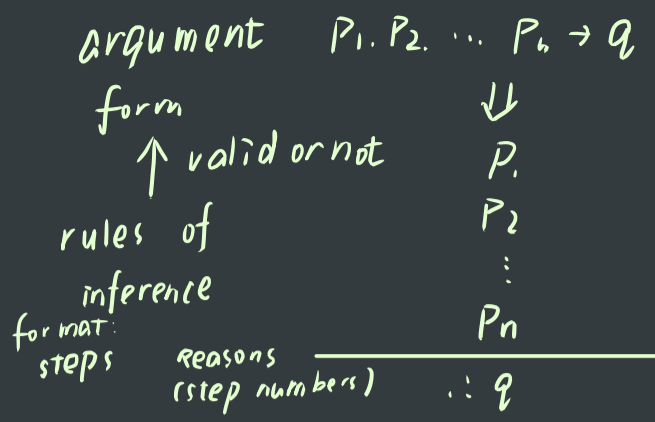
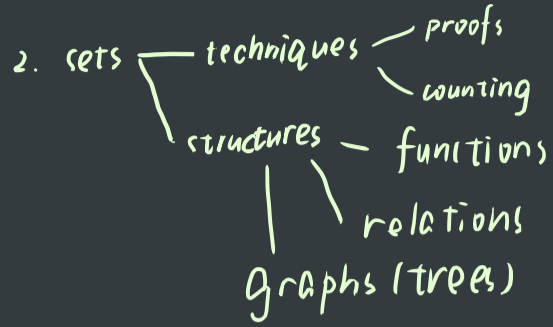
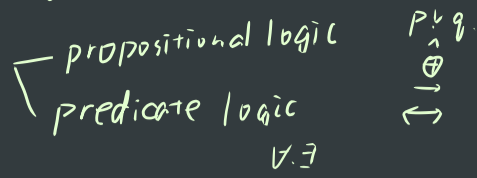
### Operations:

**Theorem 3** If  $R_1, R_2$  are equivalence relations on  $A$ , then  $R_1 \cap R_2$  is an equivalence relation on  $A$ .

**Theorem 4** If  $R_1, R_2$  are equivalence relations on  $A$ , then  $R_1 \cup R_2$  is a reflexive and symmetric relation on  $A$ .

**Theorem** If  $R_1, R_2$  are equivalence relations on  $A$ , then  $(R_1 \cup R_2)^*$  is an equivalence relation on  $A$ .

# 1. logic—language



commonly used  
prove methods